



18 SEP 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

PENNIE & EDMONDS LLP
1667 K STREET, N.W.
WASHINGTON, D.C. 20006

In re Application of : DECISION ON
Stuart A. KAUFFMAN et al : RENEWED
Application No.: 09/868,981 : REQUEST UNDER
PCT No.: PCT/US99/30640 : 37 CFR 1.497(d)
Int. Filing Date: 22 December 1999 :
Priority Date: 22 December 1998 :
Attorney Docket No.: 9392-016-999 :
For: A SYSTEM AND METHOD FOR THE :
ANALYSIS AND PREDICTION OF :
ECONOMIC MARKETS :
: :

REFFERED TO GJG

RECEIVED PEDC

SEP 23 2002

O.K. for filing

This is a decision on applicant's "RENEWED REQUEST UNDER 37 CFR 1.497(d)" filed in the USPTO on 25 June 2002.

BACKGROUND

In a decision from this Office on 25 April 2002, the request submitted on 22 January 2002 was dismissed. The decision stated that the declarations filed pursuant 35 U.S.C. 371(c)(4) was defective because it did comply with 37 CFR 1.63. The Declarations did not appear to be complete; that is, each set of declaration would required the three sheets (page 1 declaration, and page 2 and 3 with the signatures of the inventors).

On 25 June 2002 applicant's representative filed the present renewed request accompanied by, inter alia, what appears to be three sets of the declarations (sheets 1-3) that taken together would comply with 37 CFR 1.63.

DISCUSSION

A review of the renewed request reveals that applicant has still not satisfied item (3) of 37 CFR 1.497(d). In regard to item (3), applicants has filed three sets of declarations but none of these declarations are complete copies containing the inventors' signatures. The three sets are not complete copies of the originals because the third page found in the three sets of declarations is the same copy (it has the same signatures with their respective dates). The third page has the same signatures on all three sets and some of these signatures are dated after the signatures of inventors 1 through 5 found on page 2. Likewise, the signature of KAUFFMAN found in page 2 of the declaration is found in all three sets, which would indicate that this page is a copy that the other inventors of page 2 signed on.

Accordingly, the request does not meet the requirements under 37 CFR 1.497(d).

CONCLUSION

For the reasons above, the request under 37 CFR 1.497(d) is **DISMISSED** without prejudice.

If reconsideration on the merits of this petition is desired, a proper reply must be filed within TWO (2) MONTHS from the mail date of this decision. Any reconsideration request should include a cover letter entitled "Renewed Request Under 37 CFR 1.497(d)". No additional petition fee is required. Extensions of time may be obtained under 37 CFR 1.136(a).

Any further correspondence with respect to this matter should be addressed to the Commissioner for Patents, Office of PCT Legal Administration, Box PCT, Washington, D.C. 20231, with the contents of the letter marked to the attention of the PCT Legal Office..



Rafael Bacares
PCT Legal Examiner
PCT Legal Office
Telephone: (703) 308-6312
Facsimile: (703) 308-6459



Leonard E. Smith
PCT Legal Examiner
PCT Legal Office